

## Nyquist Stability Criterion

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## Why use the Nyquist Criterion?

Answers the questions:

- Q1. Are there any poles in the RHP?
- Q2. If the answer to Q1 is yes, then how many?

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## Closed-loop Characteristic Equation

$$1 + G(s)H(s) = 1 + L(s) = 0$$

$$F(s) = 1 + L(s)$$

$$= 1 + \frac{N_L(s)}{D_L(s)} = \frac{N_L(s) + D_L(s)}{D_L(s)}$$

Zeros of  $F(s)$  are closed-loop poles  
Poles of  $F(s)$  are open-loop poles

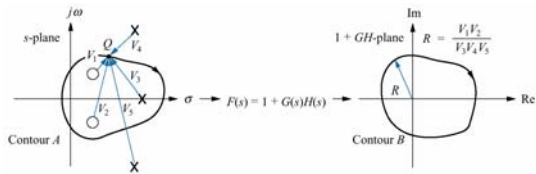
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## Contour Mapping

- Contour = closed directed simple (does not cross itself) curve .
- Consider the angle change for  $F(s)$  as  $s$  traverses a known contour  $D$ .
- Change in  $\angle F = \text{sum of angle changes for its zeros} - \text{sum of angle changes of its poles}$ .
- If  $D$  is the Nyquist contour then the net angle change can be used to determine stability.

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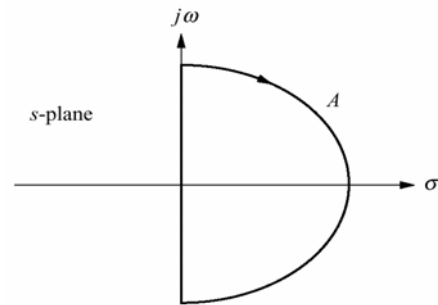
## Angle Change for $F(s)$



$360^\circ$  (No. of closed-loop poles inside contour)  
 $-360^\circ$  (No. of open-loop poles inside contour)

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## Nyquist Contour



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## Mapping of $L(s)$

- $L(j\omega)$  = polar plot.
- $L(-j\omega)$  = complex conjugate of polar plot  
= mirror image of polar plot  
Assume real coefficients
- $L(s)$ , large  $|s|$ ,  
 $|L(s)| \approx 0$ ,  $\deg[N_L] < \deg[D_L]$   
 $|L(s)| = \text{constant}$ ,  $\deg[N_L] = \deg[D_L]$

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## Principle of the Argument

- If  $F(s) = 1 + L(s)$  has  $Z$  zeros and  $P$  poles inside the Nyquist contour, a plot of  $F(s)$  as  $s$  travels once (clockwise) around the contour encircles the origin of the complex plane in which it is plotted  $(-N)$  times where  $(-N) = Z - P$  = no. of clockwise encirclements  
i.e.  $N = P - Z$   
= no. of counterclockwise encirclements

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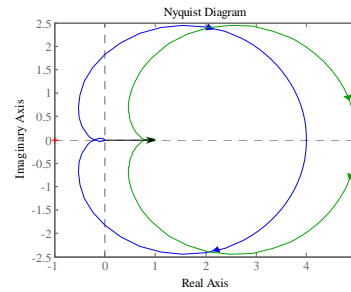
## Stability Results

- (i) For stability (no closed-loop poles in the RHP)  
 $Z=0$  i.e.  $N=P$   
 For an open-loop stable system ( $P=0$ ),  $N=0$
- (ii) For an unstable system ( $Z \neq 0$ )  
 $Z = P - N$   
 = number of closed-loop poles in the RHP
- (iii) The number of encirclements of the origin by  
 $F(s) = 1 + L(s)$  is equal to the number of  
 encirclements of  $(-1,0)$  by  $L(s)$

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## Nyquist Plot

$$F(s) = 1 + L(s) \text{ and } L(s)$$



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## Nyquist Stability Criterion

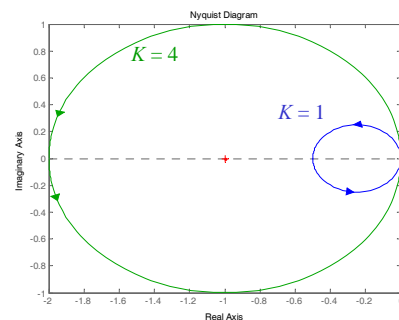
1. **Necessary and sufficient** condition for the closed-loop stability of loop gain  $L(s)$  is:  $N = P$ ,  
 $N$  = no. of counterclockwise encirclements of  $(-1,0)$  by  $L(s)$ ,  $P$  = no. of open-loop poles of  $L(s)$
2. **For an unstable system**, the number of closed-loop system poles in the RHP =  $Z = P - N$
3. **For open-loop stable systems** ( $P = 0$ ),  
 (a) the system is stable with no  $(-1,0)$  encirclements, and (b) the number of RHP poles for an unstable system =  $-N$  = number of clockwise encirclements of  $(-1,0)$

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## Open-loop Unstable System

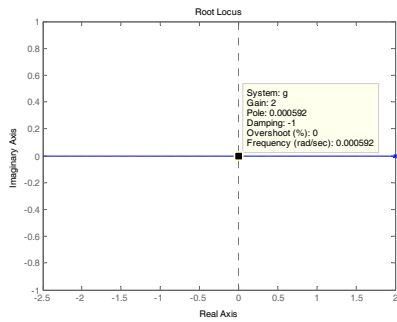
$$L(s) = K/(s-2) \quad K=1: \quad N=0 \quad P=1 \quad Z=1$$

$$K=4: \quad N=1 \quad P=1 \quad Z=0$$



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## Root Locus: Open-loop Unstable System

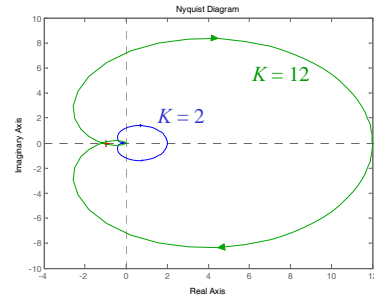


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## Nyquist Plot: 3<sup>rd</sup> Order, Type 0

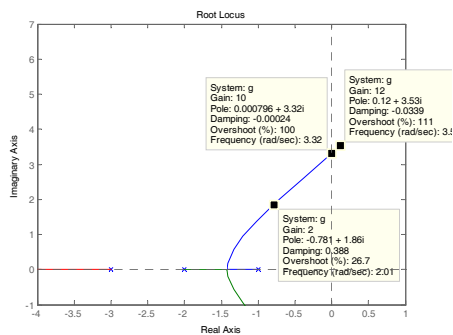
$$L(s) = \frac{K}{(s+1)(s/2+1)(s/3+1)} \quad K=2: N=0 \quad P=0 \quad Z=0$$

$$K=12: N=-2 \quad P=0 \quad Z=2$$



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## Root Locus: 3<sup>rd</sup> Order, Type 0



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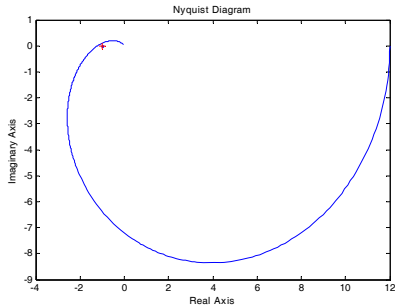
## Simplified Nyquist Criterion

- Assume that the loop gain function has no open-loop poles in the RHP (i.e.  $P = 0$ ).
- Let an observer follow the polar plot of the loop gain in the direction of increasing frequency. The closed-loop system is stable **if and only if** the point  $(-1,0)$  is to the **left of the observer**.
- **Remark:** The criterion implies no encirclements of  $(-1,0)$  by the complete Nyquist plot.

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### Example: Unstable System

$$L(s) = \frac{12}{(s+1)(s/2+1)(s/3+1)}$$



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### Modified Nyquist Contour

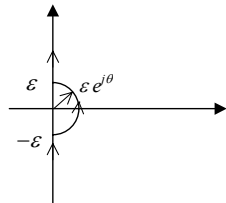
- Add a small semicircle around the origin for systems of type  $\geq 1$
- The small semicircle is mapped to  $l$  infinite semicircles traversed clockwise for a type  $l$  system.

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### Large Semicircles

For small  $|s| = \varepsilon$

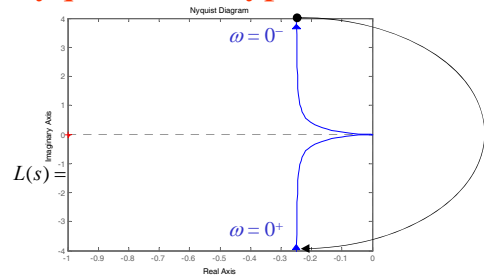
$$G(s) = K_e \frac{\prod_{k=1}^m \frac{s}{\omega_k} + 1}{(s)^l \prod_{i=1}^{n-l} \frac{s}{\omega_i} + 1} \cong \frac{K_e}{(s)^l}$$



Net rotation for  $s = +\pi$   
 Denominator angle =  $l \pi$   
 Transfer function angle =  $-l \pi$  (clockwise)

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### Nyquist Plot: Type I, $P = 0$

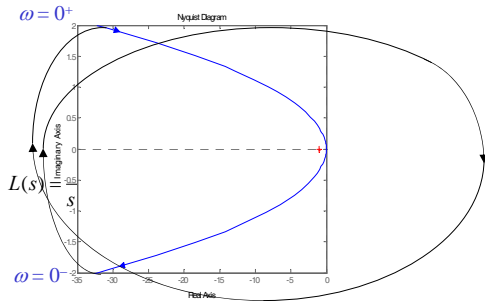


Type I: one clockwise half circle

$$N = 0 \quad P = 0 \quad Z = 0$$

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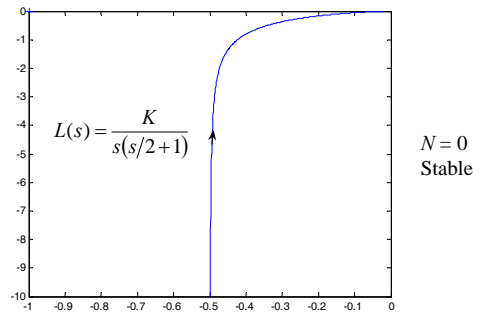
### Nyquist Plot: Type II, $P = 0$



Type II: two clockwise half circles  
 $-N = 2 \quad P = 0 \quad Z = 2$

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### Simplified Nyquist: Type I

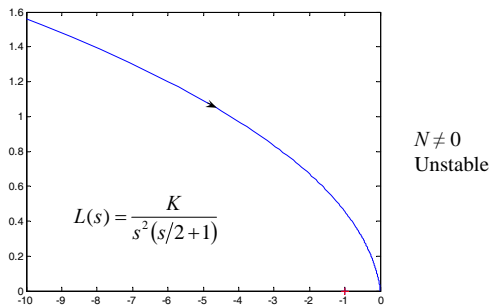


$$L(s) = \frac{K}{s(s/2+1)}$$

$N = 0$   
Stable

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### Simplified Nyquist: Type II



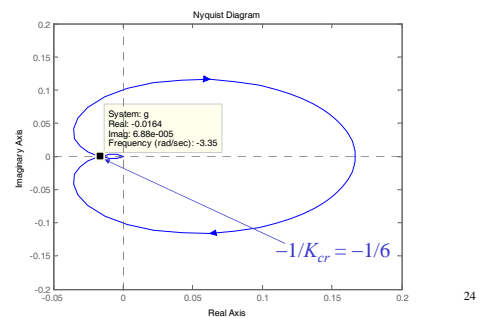
$$L(s) = \frac{K}{s^2(s/2+1)}$$

$N \neq 0$   
Unstable

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### Nyquist Plot: Variable $K$

- Plot frequency response for a gain  $K = 1$ .
- Count encirclements of the point  $-1/K$ .



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